Gimentenson FP-97-03-01 UMB No. 04-R-4028 DEPARTMENT OF TRANSPORTATION FEDERAL RAILROAD ADMINISTRATION March 1997 FALSE PROCEED SIGNAL REPORT March 31, 1907 All railrouts subject in Regulations of the Federal Railroad Administration shall submit a false proceed signal report, original only, to the Federal Railroad Administration within five days after a false proceed occurs. If no false proceed occurs during any culondar month, a report showing "No Failures" must be filed within ten days after the end of the month. Norfolk Southern Corporation Copies of this form will be furnished upon request to the Department of Transportation, Federal Railroad Administration, Office of Safety, Washington, D.C. 20590 Division - Tennessee MAIL TO Federal Railroad Admin. REPORTING OFFICER (#Igneture/fille) Suite 440, North Tower 1720 Peachtree Rd., NW Atlanta, GA. 30309 Chief Engineer - Western Region Communications & Signal Dept. A fullure should not be counted more than one time in items 1, 2, 3, and 4; the failure The following abbreviations may be used in the report. should be classified under the nusic system or appliance of which it forms an essen-A-Automatic tial part. E.g.; assume grounds cause a block signal to indicate a false proceed EM-Electromechanical AB-Autometic block EP-Electropneumatic causing corresponding indications of a cah signal system on each train approaching ACS-Automatic cab signal FP-False proceed this point, such failures should be included in item 1, Block Systems. APB-Absolute permissive block MB-Manual block A false proceed failure is a failure of a system, device or appliance to indicate or ATC-Automatic train control M-Mechanical function as intended which results in less restriction than intended. ATS-Automatic train stop P-Pneumatic CL-Culor light PL-Position light CPL-Color position light SA-Semiautomatic E-Electric T.C - Traffic control LOCOMOTIVE DEVICE THAT TYPE OF SYSTEM DATE LOCATION (city and sinte) NUMBER FAILED 1 BLOCK SYSTEMS 8610 3/22/97 7026 wiring error Harriman, TN 2 INTERLOCKING DEPARTMENT OF TRANSPORTATION FEDERAL HAILROAD ADMINISTRATION REMOTE MANUAL 3 AUTOMATIC SYSTEMS

At approximately 3:00 PM, Train No. 235, Engineer , Conductor was running northbound approaching Harriman. The signal at milepost 47.1D, the approach to Harriman, was displaying a clear aspect. Knowing the location Train No. 196 ahead, and correctly assumed that their next signal (Signal 24-2N at Harriman, milepost 49.6D) would be displaying stop and that they should have seen an approach at 47.1D. Train No. 235 had no trouble complying with subsequent signal indications and reported the incident to the dispatcher. A following train, No. 302, Engineer Conductor , reported a similar occurrence at about 3:30 PM.

Signal personnel were called to investigate and were able to duplicate the reported incident. The problem was traced to a wiring error in the code out selection circuit for the ElectroCode box connected to the south track at Harriman. The error allowed a "D" code (code 4) to be sent to 47.1D when a "H" code (code 2) should have gone out. The problem was corrected, the system was checked and the signals were returned to service.

The wiring error was apparently made during a cut-in of a new code system the day before the incident. The modified prints called for the #5 contact group in the 24-2NAG relay to be used for an indication circuit. During cut-in, it was discovered that the ElectroCode code selection circuit already used this group, and somehow as the conflict was corrected, the front contact selection wire got doubled in with the heel wire.

4 OTHER (specify)

NATURE AND CAUSE OF FAILURE/CORRECTIVE ACTION TAKEN